



# Nicholas Hughes

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## Professional background

Nicholas (Nick) Hughes is the Joint Country Head and Group Chief Operating Officer (COO) for UBS in Australasia.

As Group COO (since 2012), Nick is responsible for the front to back control environment for UBS operations across the Investment Bank and Asset Management businesses.

Nick previously served as Chief Risk Officer for UBS Australia in 2015.

Nick chairs the ANZ Investment Bank Management & Risk Committee, ANZ Country Committee, ANZ Operating Committee, ANZ Offshoring Governance Committee and chairs most of UBS corporate entities in Australia, including UBS Securities Australia Ltd. Nick is also a member of the Asset & Liability Committee.

Prior to becoming Group COO, he was Deputy Group COO for UBS in Australasia from May 2011 until May 2012. Nick was formerly a Managing Director in the Investment Banking Department at UBS for 16 years, where he advised corporate and government clients on complex mergers and acquisitions advisory assignments and capital markets transactions. Between 1998 and 2003, Nick was based in London. Nick commenced his professional career as an analyst in the Investment Banking Department at Credit Suisse in Australia in 1993.

UBS Australasia is part of a leading global financial services firm that combines investment banking, wealth management and asset management businesses to deliver superior financial services to private, institutional and corporate clients worldwide.

## Qualifications

Nick holds Bachelor of Commerce (Finance major, with merit) and Bachelor of Laws degrees from the University of New South Wales. Nick also holds a Graduate Diploma in Legal Practice from the University of Technology, Sydney. He is a Fellow of the Financial Services Institute of Australasia (FINSIA) and has completed a number of FINSIA training courses. Nick is also a member of the Financial Executives Institute of Australia. Nick was admitted as a Solicitor of the Supreme Court of New South Wales in 1992. Nick has also completed the ASIC Market Integrity Rules and ASX Clear Operating Rules Responsible Executive examination.

## Personal

Nick lives in Sydney and is married with 3 children.